

DATE: January 28, 2019

# CIRCULARS

#### RBI

• No New Circular

#### NSE

- Adjustment of Futures and Options contracts in the security Container Corporation of India Limited (CONCOR)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Submission of intention requests and settlement at additional delivery centres
- Commodity Derivatives Segment Settlement Calendar for February 2019 ??? Additional
   Delivery Centres
- Revision in List of Approved Banks for the issuance of Fixed Deposit Receipts
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

BSE

• Applicability of Additional Surveillance Measure

- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger –
   "Upload of Holding Statement"
- Physical Settlement of Stock Derivatives
- Single registration required for uniform membership across segments
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Enhancements in Trading System APIs in Commodity Derivatives segment

# ICEX

• No New Circular

# MCX

- Alignment of Trading Lot and Delivery Lot size
- Revision in Expiry Dates of Contracts
- Warehouse for Delivery of Cotton Bales

## **SEBI**

- Adjudication Order in matter of EMED.COM Technologies Ltd
- Adjudication Order in respect of Bhuwalka and Sons Pvt Ltd in the matter of dealing in illiquid Stock Options at BSE
- Adjudication order in respect of Abhishek Mehta in the matter of Acclaim Industries Ltd.
- Adjudication order in respect of M/s Palash Education Technology Private Limited in the matter of Illiquid Stock Options at BSE
- Adjudication Order in respect of Kishan Kumar Jajodia in the matter of Dealing in Illiquid options on the BSE.
- Adjudicating Order in respect of Umesh Hemnani in the matter of dealings in illiquid stocks options at BSE

- Adjudication Order in respect of Abhijeet Dwarkadas Daga in the matter of Timbor Home Ltd.
- Adjudication Order against Pumarth Infrastructure Private Limited
- Adjudication Order in respect of Jaya Sarda in the matter of Dealing in Illiquid options on the BSE.
- Order passed in Reliable Multi Managerial Services Ltd.
- Order in the matter of Ritman Infra Ltd.
- Adjudication order in respect of Rainbow Papers Limited in the matter of Sharepro Services

   (I) Private Limited
- Adjudicating Order in respect of Gandiv Investment Pvt. Ltd., Pat Financial Consultants Pvt. Ltd. And Bharat J Patel in the matter of Ponni Sugars (Erode) Limited

## NSDL

• No New Circulars

## NCDEX

• Alignment of Trading Lot and Delivery Lot size

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